



NEW UNITED STATES UTILITY PATENT APPLICATION
under 37 C.F.R. 1.53(b)

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Atty. Docket No. 00354.77783

Assistant Commissioner of Patents
Box Patent Applications
Washington, D.C. 20231



Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): **Brett G. Clark**

Title of Invention: **DATA COLLECTION SYSTEM**

1. ☒ Specification 36 pages (including specification, claims, abstract) / 40 claims (4 independent - claims 1, 13, 29 and 35)
2. ☒ Declaration/Power of Attorney is:
☒ executed and attached.
☐ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 10 Distinct sheets of ☐ Formal ☒ Informal Drawings (Figs. 1-10)
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement
☐ Form 1449
☐ A copy of each cited prior art reference
6. ☒ Assignment with Cover Sheet.
7. ☐ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)

8. ☐ Priority document(s).
9. ☒ Statement Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.
☐ Computer Readable Copy.
☐ Paper Copy (identical to computer copy).
☐ Statement verifying identity of above copies.

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12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$760.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	20	18.00	\$360.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	1	78.00	\$78.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	260.00	\$0.00
Subtotal - Filing Fee Due			\$1,198.00
	REDUCE BY (%) (\$)		
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0.50		\$599.00
TOTAL FILING FEE DUE			\$599.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	1	40.00	\$40.00
GRAND TOTAL DUE			\$639.00

13. PAYMENT is:

- ☒ included in the amount of the GRAND TOTAL by our enclosed checks. A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 19-0733 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.
- ☐ not included, but deferred under 37 C.F.R. § 1.53(f).

14. All correspondence for the attached application should be directed to:

Robert S. Katz
Banner & Witcoff, Ltd.
1001 G Street, N.W.
Washington, D. C. 20001-4597
Telephone: (202) 508-9100
Facsimile: (202) 508-9299

15. Other: _____

Date: October 29, 1999

By: RSK

Robert S. Katz
Reg. No. 36,402

RSK/sgs

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§1.9(f) AND 1.27(e)) — SMALL BUSINESS CONCERN

I hereby declare that I am:

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF CONCERN: Amherst International, Inc.

ADDRESS OF CONCERN: Brentwood Commons Two, Suite 250, 750 Old Hickory Blvd.
Brentwood, Tennessee 37027

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §§121.3-18, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under §§41(a) and (b) of Title 35, United States Code, in that the number of employees of the business concern (including those of its affiliates) does not exceed 500 persons. For purposes of this Statement: (1) the number of employees of the business concern is the average over the previous fiscal year of the business concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) business concerns are affiliates of each other when either directly or indirectly one business concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: DATA COLLECTION SYSTEM

by inventor(s) Brett G. Clark

described in ☒ the specification filed herewith.

- ☐ application serial no. _____ filed _____
☐ patent no. _____ filed _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e). *NOTE: Separate verified statements are required from each named person, business concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

FULL NAME _____

☐ Individual

ADDRESS _____

☐ Small Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that false statements made willfully are punishable by fine, imprisonment, or both a fine and imprisonment under Section 1001 of Title 18 of the United States Code; and further that false statements made willfully may jeopardize the validity of the application, of any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Scot Ware

TITLE OF PERSON (other than owner) PRESIDENT

ADDRESS OF PERSON SIGNING 750 Old Hickory Blvd Brentwood, TN

SIGNATURE _____

DATE 10-26-1999